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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number	10/735866
Filing Date	12/16/2003
First Named Inventor	HAAS, Hansjoerg
Art Unit	3637
Examiner Name	NOT YET SPECIFIED
Attorney Docket Number	T00158-0019-US

U.S. PATENT DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
KT		US- 5,449,091		09-12-1995	Storage Technology Corporation	
		US- 5,546,315		08-13-1996	Storage Technology Corporation	
		US- 5,733,024		03-31-1998	Silicon Valley Group, Inc.	
		US- 5,735,587		04-07-1998	Liconic AG	
		US- 6,129,428		10-10-2000	Kendro Laboratory Products GmbH	
		US- 6,478,524	BI	11-12-2002	Liconic AG	
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FOREIGN PATENT DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind ⁵ (if known)				
		WO	99/01894		01-14-1999	ZINGER, Jan et al.		

Examiner
Signature

K. TRAN

Date
Considered

01/07/05

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet	1	of	2	Attorney Docket Number	T00158-0019-US
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T²
		Country Code³ Number⁴ Kind Code⁵ (if known)				
KT		JP 55156107 A	12-04-1980	MASAO		
KT		WO 0216844 A	02-28-2002	FELDER, ET AL.		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/735,866
				Filing Date	December 16, 2003
				First Named Inventor	HAAS, Hansjoerg
				Art Unit	3637
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	T00158-0019-US
Sheet	2	of	2		

[illegible]

Examiner Signature	K. TRAV	Date Considered	01/07/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
T00158-0019-US

In Re Application Of:

HAAS, Hansjoerg

Serial No.
10/735,866

Filing Date
December 16, 2003

Examiner
Not yet specified

Group Art Unit
3637

Title: **ROBOTIC CAROUSEL WORKSTATION**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
T00158-0019-US

In Re Application:

HAAS, Hansjoerg

Serial No.
10/735,866

Filing Date
December 16, 2003

Examiner
Not yet specified

Group Art Unit
3637

ROBOTIC CAROUSEL WORKSTATION

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

*This certificate may only be used if paying by deposit account.

Signature

John R.S. Orange (29,725)
McCarthy Tetraault LLP
Suite 4700, P.O. Box 48
66 Wellington St. W.
Toronto Dominion Bank Tower
Toronto, ON M5K 1E6 Canada

Tel: 416 362-1812 Fax: 416 601-8454

cc:

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Dated: 19 April 2004

ATTORNEY DOCKET NO.: T00158-0019-US
CUSTOMER NUMBER: 000027155

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re patent application of:

HAAS, Hansjoerg

Serial No.: 10/735,866 Group Art Unit: 3637
Filed: December 16, 2003 Examiner: NOT YET SPECIFIED
Title: **ROBOTIC CAROUSEL WORKSTATION**

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith excluding U.S. patent references.

U.S. patent references are not enclosed pursuant to the Office's waiver (published in the Official Gazette - August 5, 2003) of such requirement for all U.S. national patent applications and for all international applications that have entered the national stage under 35 USC 371 filed after June 30, 2003.

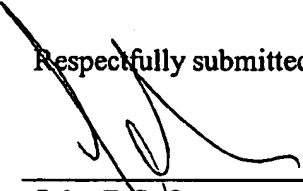
The filing of this IDS shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material for patentability, or that no other material information exists. This filing shall not be construed as an admission against interest in any matter.

This IDS is submitted pursuant to 37 CFR §1.97(b) and, accordingly, no fee is believed to be due for consideration of the documents submitted herewith.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date: 19 April 2004

Respectfully submitted,



John R.S. Orange
Registration No. 29,725
Agent for Applicant

McCarthy Tétrault
Suite 4700, P.O. Box 48
Toronto Dominion Bank Tower
Toronto, Ontario M5K 1E6, Canada

Tel: 416 362-1812
Fax: 416 601-8454



IFW

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ATTORNEY DOCKET NO.: T00158-0019-US
CUSTOMER NUMBER: 000027871

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re patent application of:

HAAS, Hansjoerg

Serial No.: 10/735,866 Group Art Unit: 3637
Filed: December 16, 2003 Examiner: Not Yet Assigned
Title: **ROBOTIC CAROUSEL WORKSTATION**

Mail Stop DD
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty to disclose under 37 CFR §1.56, Applicant submits herewith a Form PTO/SB/08A listing references of which the Applicant is aware and which are brought to the attention of the Examiner. In accordance with 37 CFR §1.98(a)(2), a copy of each document listed in the enclosed Form PTO/SB/08A is submitted herewith excluding U.S. patent references.

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This IDS is being submitted pursuant to 37 CFR 1.97(c) prior to the issuance of a final action or a Notice of Allowance. Accordingly, pursuant to 37 CFR 1.97(c)(2), please debit our Deposit Account Number 02-2553 in payment of the fee prescribed under 37 CFR 1.17(p). In the event of any discrepancy in the required fees, please debit or credit our Deposit Account Number 02-2553 accordingly and advise us immediately.

Applicant respectfully requests consideration of the items listed and requests the Examiner to return a copy of the attached Form PTO/SB/08A after being marked as being considered by the Examiner.

Date:

6 Aug 08

Respectfully submitted,



John R.S. Orange
Registration No. 29,725
Agent for Applicant

BLAKE, CASSELS & GRAYDON LLP
Suite 4700, P.O. Box 48
Toronto Dominion Bank Tower
Toronto, Ontario M5K 1E6, Canada

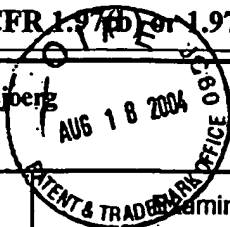
Tel 416-863-3164
Fax 416-863-2653

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
T00158-0019-US

In Re Application Of: HAAS, Hansjoerg



Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

Confirmation No.

10/735,866

December 16, 2003

Not yet assigned

27871

3637

5460

Title: **ROBOTIC CAROUSEL WORKSTATION**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.87(b) or 1.97(c))

Docket No.
T00158-0019-US

In Re Application: HAAS, Hansjoerg

AUG 18 2004
PATENT & TRADEMARK OFFICE

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/735,866	December 16, 2003	Not yet assigned	27871	3637	5460

ROBOTIC CAROUSEL WORKSTATION**Payment of Fee**

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Signature _____

Dated: August 17, 2004

John R.S. Orange (29,725)
Blake, Cassels & Graydon LLP
Box 25, Commerce Court West
199 Bay Street
Toronto, Ontario M5L 1A9
Canada

Tel: 416 863-3164

CC: